Appendix 1

to the Bank of Russia Instruction

No. 181-И dated August 16, 2017

“Procedure for residents

and non-residents

to submit confirming documents and information

to the authorized banks

when conducting currency transactions,

on uniform forms of accounting and reporting

on currency transactions, the procedure

and deadlines for their submission”

TRANSACTION TYPE CODE LIST

|  |  |  |
| --- | --- | --- |
| Transaction type code | | Transaction type name |
| 01 | | Foreign exchange transactions by residents in non-cash form |
| 01 | 010 | Sale of a foreign currency for the currency of the Russian Federation by a resident |
| 01 | 030 | Purchase of a foreign currency for the currency of the Russian Federation by a resident |
| 01 | 040 | Purchase (sale) of a foreign currency for another foreign currency by a resident |
| 02 | | Non-cash foreign exchange transactions by non-residents |
| 02 | 010 | Purchase of the currency of the Russian Federation for a foreign currency by a non-resident |
| 02 | 020 | Sale of the currency of the Russian Federation for a foreign currency by a non-resident |
| 10 | | Settlements between residents and non-residents during foreign trade activities related to the export of goods from the Russian Federation, including aircraft, sea vessels, inland vessels and space objects |
| 10 | 100 | Settlements by a non-resident in the form of advance payment to a resident for goods exported from the territory of the Russian Federation, including under a commission agreement (agency agreement, delegation agreement) (advance payment), except for settlements specified in group 22 of this List |
| 10 | 200 | Settlements by a non-resident when a resident provides deferred payment for goods exported from the territory of the Russian Federation, including under a commission agreement (agency agreement, delegation agreement (deferred payment), except for payments specified in group 22 of this List |
| 10 | 300 | Crediting to a resident’s bank account in an authorized bank in foreign currency or the currency of the Russian Federation without opening a bank account, using electronic means of payment enabled by a foreign service provider, in circumstances stipulated by the Federal Law “On Currency Regulation and Currency Control”, for goods sold by that resident via foreign electronic marketplaces (websites) that function on the Internet (information and telecommunication network) |
| 10 | 800 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received funds when exporting goods from the territory of the Russian Federation, except for settlements with code 22800 |
| 11 | | Settlements between residents and non-residents in carrying out foreign trade activities related to the import into the Russian Federation of goods, including aircraft, sea vessels, inland navigation vessels and space objects |
| 11 | 100 | Settlements by a resident in the form of advance payment to a non-resident for goods imported to the territory of the Russian Federation, including under a commission agreement (agency agreement, delegation agreement) (advance payment), except for settlements specified in group 23 of this List |
| 11 | 200 | Settlements by a resident when a non-resident provides deferred payment for goods imported to the territory of the Russian Federation, including under a commission agreement (agency agreement, delegation agreement (deferred payment), except for payments specified in group 23 of this List |
| 11 | 900 | Settlements by a non-resident in favor of a resident related to the return of unnecessarily (erroneously) received funds when importing goods to the territory of the Russian Federation, except for settlements with code 23900 |
| 12 | | Settlements between residents and non-residents for goods sold without their import into the territory of the Russian Federation |
| 12 | 050 | Settlements by a non-resident in favor of a resident for goods sold outside the territory of the Russian Federation without importing them into the territory of the Russian Federation, except for settlements with codes 22110, 22210, 22300 |
| 12 | 060 | Settlements by a resident in favor of a non-resident for goods sold outside the territory of the Russian Federation without importing them into the territory of the Russian Federation, except for settlements with codes 23110, 23210, 23300 |
| 12 | 800 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received funds when selling goods outside the territory of the Russian Federation, except for settlements with code 22800 |
| 12 | 900 | Settlements by a non-resident in favor of a resident related to the return of unnecessarily (erroneously) received funds when selling goods outside the territory of the Russian Federation, except for settlements with code 23900 |
| 13 | | Settlements between residents and non-residents for goods sold on the territory of the Russian Federation |
| 13 | 010 | Settlements by a non-resident in favor of a resident for goods sold on the territory of the Russian Federation, except for settlements with codes 22110, 22210, 22300 |
| 13 | 020 | Settlements by a resident in favor of a non-resident for goods sold on the territory of the Russian Federation, except for settlements with codes 23110, 23210, 23300 |
| 13 | 800 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received funds when selling goods on the territory of the Russian Federation, except for settlements with code 22800 |
| 13 | 900 | Settlements by a non-resident in favor of a resident related to the return of unnecessarily (erroneously) received funds when selling goods on the territory of the Russian Federation, except for settlements with code 23900 |
| 20 | | Settlements between residents and non-residents in carrying out foreign trade activities related to the performance of work by residents, the provision of services by residents, the transfer of information and results of intellectual activity by residents, including exclusive rights thereto, as well as under rental agreements for movable and (or) immovable property, for exclusion of financial lease (leasing) agreements |
| 20 | 100 | Settlements by a non-resident in the form of advance payment for work performed by a resident, services rendered, information and results of intellectual activity transferred, including exclusive rights thereto, including the fulfillment of the said obligations under a commission agreement (agency agreement, delegation agreement), as well as settlements related to preliminary payment of remuneration to a resident being a trustee under a trust agreement, a resident being a broker under a broker service agreement, a resident being a clearing organization under the contract for the provision of clearing services (advance payment), except for settlements with code 20400, settlements in group 22 of this List |
| 20 | 200 | Settlements by a non-resident for work performed by a resident, services rendered, information and results of intellectual activity transferred, including exclusive rights thereto, including the fulfillment of these obligations under a commission agreement (agency agreement, delegation agreement), as well as settlements related to the payment of remuneration to a resident being a trustee under a trust agreement, a resident being a broker under a broker service agreement, a resident being a clearing organization under a contract for the provision of clearing services (deferred payment), except for settlements with code 20400, settlements in group 22 of this List |
| 20 | 300 | Settlements by a non-resident in favor of a resident under a lease agreement for movable and (or) immovable property, except for settlements under a financial lease (leasing) agreement |
| 20 | 400 | Settlements by a non-resident principal (grantor) in favor of a resident commission agent (agent, attorney) in connection with the payment for the acquisition from third parties of goods, work, services, information and results of intellectual activity, including exclusive rights thereto, for a non-resident in accordance with a commission agreement (agency agreement, delegation agreement), except for settlements specified in group 58 of this List |
| 20 | 500 | Settlements by a resident commission agent (agent, guarantor) in favor of a non-resident principal (grantor) in connection with the provision by a resident of services for the sale to others of goods, work, services, information and results of intellectual activity, including exclusive rights thereto, of a non-resident in accordance with a commission agreement (agency agreement, delegation agreement), except for settlements specified in group 58 of this List |
| 20 | 800 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received funds for the work performed, services rendered, information and intellectual property results transferred by a resident, including exclusive rights thereto, except for settlements specified in groups 22 and 58 of this List |
| 21 | | Settlements between residents and non-residents in carrying out foreign trade activities related to the performance of work by non-residents, the provision of services by non-residents, the transfer of information and results of intellectual activity by non-residents, including exclusive rights thereto, as well as under rental agreements for movable and (or) immovable property, for exclusion of financial lease (leasing) agreements |
| 21 | 100 | Settlements by a resident in the form of advance payment for work performed by a non-resident, services rendered, information and results of intellectual activity transferred, including exclusive rights thereto, including the fulfillment of these obligations under a commission agreement (agency agreement, delegation agreement), as well as settlements related to preliminary payment of remuneration to a non-resident being a trustee under a trust agreement, a non-resident being a broker under a broker service agreement, a non-resident being a clearing organization under a contract for the provision of clearing services (advance payment), except for settlements with code 21400, settlements in group 23 of this List |
| 21 | 200 | Settlements by a resident for work performed by a non-resident, services rendered, information and results of intellectual activity transferred, including exclusive rights thereto, including the fulfillment of these obligations under a commission agreement (agency agreement, delegation agreement), as well as settlements related to the payment of remuneration to a non-resident being a trustee under a trust agreement, a non-resident being a broker under a broker service agreement, a non-resident being a clearing organization under a contract for the provision of clearing services (deferred payment), except for settlements with code 21400, settlements in group 23 of this List |
| 21 | 300 | Settlements by a resident in favor of a non-resident under a lease agreement for movable and (or) immovable property, except for settlements under a financial lease (leasing) agreement |
| 21 | 400 | Settlements by a resident principal (grantor) in favor of a non-resident commission agent (agent, attorney) in connection with payment for the acquisition from third parties of goods, work, services, information and results of intellectual activity, including exclusive rights thereto, for a resident in accordance with a commission agreement (agency agreement, delegation agreement), except for settlements specified in group 58 of this List |
| 21 | 500 | Settlements by a non-resident commission agent (agent, guarantor) in favor of a resident principal (grantor) in connection with the provision by a non-resident of services for the sale to others of goods, work, services, information and results of intellectual activity, including exclusive rights thereto, of a resident in accordance with a commission agreement (agency agreement, delegation agreement), except for settlements specified in group 58 of this List |
| 21 | 900 | Settlements by a non-resident in favor of a resident related to the return of unnecessarily (erroneously) received funds for work performed, services rendered, information and intellectual property results transferred by a non-resident, including exclusive rights thereto, except for settlements specified in groups 23 and 58 of this List |
| 22 | | Settlements between residents and non-residents related to the transfer of goods by residents, the performance of work by residents, the provision of services by residents, the transfer of information and intellectual property results by residents, including exclusive rights thereto, under mixed type agreements <1> |
| 22 | 100 | Settlements by a non-resident, including being a principal (grantor), in the form of advance payment to a resident, including being a commission agent (agent, guarantor), for goods exported from the territory of the Russian Federation, work performed, services rendered, information and results of intellectual activities transferred, including exclusive rights thereto (advance payment), except for settlements with codes 20100 and 22110 |
| 22 | 110 | Settlements by a non-resident in the form of advance payment to a resident for goods delivered, work performed, services rendered, information and intellectual property results transferred, including exclusive rights thereto, under the contracts specified in sub-paragraph 4.1.2 of paragraph 4.1 of this Instruction (advance payment) |
| 22 | 200 | Settlements by a non-resident, including being a principal (grantor), when a resident, including being a commission agent (agent, guarantor), provides deferred payment for goods exported from the Russian Federation, work performed, services rendered, information and results of intellectual activities transferred, including exclusive rights thereto (deferred payment), except for settlements with codes 20200 and 22210 |
| 22 | 210 | Settlements by a non-resident when a resident provides deferred payment for goods delivered, work performed, services rendered, information and results of intellectual property transferred, including exclusive rights thereto, under contracts specified in sub-paragraph 4.1.2 of paragraph 4.1 of this Instruction (deferred payment) |
| 22 | 300 | Settlements by a non-resident in favor of a resident under a finance lease (leasing) agreement |
| 22 | 800 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received funds under mixed type agreements |
| 23 | | Settlements between residents and non-residents related to the transfer of goods by non-residents, the performance of work by non-residents, the provision of services by non-residents, the transfer of information and intellectual property results by non-residents, including exclusive rights thereto, under mixed type agreements |
| 23 | 100 | Settlements by a resident, including being a principal (grantor), in the form of advance payment to a non-resident, including being a commission agent (agent, guarantor), for goods imported to the territory of the Russian Federation, work performed, services rendered, information and results of intellectual activities transferred, including exclusive rights thereto (advance payment), with the exception of settlements with codes 21100 и 23110 |
| 23 | 110 | Settlements by a resident in the form of advance payment to a non-resident of goods delivered, work performed, services rendered, information and intellectual property results transferred, including exclusive rights thereto, under contracts specified in sub-paragraph 4.1.2 of paragraph 4.1 of this Instruction (advance payment) |
| 23 | 200 | Settlements by a resident, including being a principal (grantor), when a non-resident, including being a commission agent (agent, guarantor), provides deferred payment for goods imported to the Russian Federation, work performed, services rendered, information and results of intellectual activities transferred, including exclusive rights thereto (deferred payment), with the exception of settlements with codes 21200 и 23210 |
| 23 | 210 | Settlements by a resident when a non-resident provides deferred payment for goods delivered, work performed, services rendered, information and results of intellectual property transferred, including exclusive rights thereto, under the contracts specified in sub-paragraph 4.1.2 of paragraph 4.1 of this Instruction (deferred payment) |

--------------------------------

<1> Codes of the types of transactions of this group and group 23 of this List are used if the transfer amount includes both the cost of goods and the cost of services, and (or) work, and (or) information, and (or) the results of intellectual activity, including exclusive rights thereon (without the possibility of isolating it separately), under the following agreements, which for the purposes of this appendix are recognized as mixed-type agreements:

agreements stipulating the export (import) by a resident of goods from the territory of the Russian Federation (to the territory of the Russian Federation) subject to the performance of work, and (or) the provision of services, and (or) the transfer of information and (or) the results of intellectual activity, including exceptional rights thereto, including the export (import) of goods for their processing, repair (modernization), construction of facilities abroad or in the Russian Federation;

agreements referred to in sub-paragraph 4.1.2 of paragraph 4.1 of this Instruction;

financial lease (leasing) agreements;

agency agreements (commission agreements, delegation agreements), the terms of which provide for the import (export) of goods into the territory of the Russian Federation (from the territory of the Russian Federation).

|  |  |  |
| --- | --- | --- |
| 23 | 300 | Settlements by a resident in favor of a non-resident under a finance lease (leasing) agreement |
| 23 | 900 | Settlements by a non-resident in favor of a resident related to the return of unnecessarily (erroneously) received funds under mixed type agreements |
| 30 | | Settlements between residents and non-residents related to the acquisition of real estate, with the exception of payments for aircraft, sea vessels, inland vessels and space objects |
| 30 | 010 | Settlements by a non-resident in favor of a resident for real estate acquired outside the territory of the Russian Federation, including those related to the share of a non-resident in the construction by a resident of real estate outside the territory of the Russian Federation |
| 30 | 020 | Settlements by a resident in favor of a non-resident for real estate acquired outside the territory of the Russian Federation, including those related to the share of a resident in the construction by a non-resident of real estate outside the territory of the Russian Federation |
| 30 | 030 | Settlements by a non-resident in favor of a resident for real estate acquired on the territory of the Russian Federation, including those related to the share of a non-resident in the construction by a resident of real estate on the territory of the Russian Federation |
| 30 | 040 | Settlements by a resident in favor of a non-resident for real estate acquired on the territory of the Russian Federation, including those related to the share of a resident in the construction by a non-resident of real estate on the territory of the Russian Federation |
| 30 | 800 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received funds from transactions with real estate, including those related to equity participation in the construction of real estate |
| 30 | 900 | Settlements by a non-resident in favor of a resident related to the return of unnecessarily (erroneously) received funds from transactions with real estate, including those related to equity participation in the construction of real estate |
| 32 | | Settlements between residents and non-residents in accordance with the claim assignment agreements, debt transfer agreements concluded between residents and non-residents |
| 32 | 010 | Settlements by a non-resident in favor of a resident for a claim assigned by a resident to a non-resident in accordance with a claim assignment agreement |
| 32 | 015 | Settlements by a resident in favor of a non-resident for a claim assigned by a non-resident to a resident in accordance with a claim assignment agreement |
| 32 | 020 | Settlements by a non-resident in favor of a resident for a debt transferred by a non-resident to a resident in accordance with a debt transfer agreement |
| 32 | 025 | Settlements by a resident in favor of a non-resident for a debt transferred by a resident to a non-resident in accordance with a debt transfer agreement |
| 32 | 800 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received funds under claim assignment (debt transfer) agreements |
| 32 | 900 | Settlements by a non-resident in favor of a resident related to the return of unnecessarily (erroneously) received funds under claim assignment (debt transfer) agreements |
| 35 | | Settlements between residents and non-residents of other transactions related to foreign trade activities and not directly indicated in groups 10–23 of this List |
| 35 | 030 | Settlements by a non-resident in favor of a resident of other transactions related to foreign trade activities and not directly indicated in groups 10–23 of this List |
| 35 | 040 | Settlements by a resident in favor of a non-resident of other transactions related to foreign trade activities and not directly indicated in groups 10–23 of this List |
| 40 | | Settlements related to the provision of funds under loan agreements by residents, with the exception of authorized banks, to non-residents |
| 40 | 030 | Settlements by a resident in favor of a non-resident when providing funds under a loan agreement |
| 40 | 035 | Settlements of an individual resident in favor of a non-resident when providing funds under a loan agreement |
| 40 | 900 | Settlements by a non-resident in favor of a resident related to the return of excessively (erroneously) received funds when a resident provides a non-resident with a loan under a loan agreement |
| 40 | 905 | Settlements by a non-resident in favor of a resident individual related to the return of unnecessarily (erroneously) received funds upon provision of a loan by a resident individual to a non-resident under a loan agreement |
| 41 | | Settlements related to the provision of funds under facility and loan agreements by non-residents to residents with the exception of authorized banks |
| 41 | 030 | Settlements by a non-resident in favor of a resident in the provision of funds under a facility agreement, loan agreement |
| 41 | 800 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received funds when a non-resident provides a resident with a facility or a loan under a facility agreement, loan agreement |
| 42 | | Settlements related to the fulfillment of obligations on money-borrowed loans and borrowings under facility and loan agreements by residents with the exception of authorized banks |
| 42 | 015 | Settlements by a resident in favor of a non-resident on the repayment of a principal under a facility agreement, loan agreement |
| 42 | 035 | Settlements by a resident in favor of a non-resident of interest payment under a facility agreement, loan agreement |
| 42 | 050 | Other settlements of a resident in favor of a non-resident related to the payment of premiums (commissions) and other cash under a facility, a loan |
| 42 | 900 | Settlements by a non-resident in favor of a resident related to the return of unnecessarily (erroneously) received funds upon repayment by a resident of a principal under a facility agreement, loan agreement |
| 42 | 950 | Settlements by a non-resident in favor of a resident related to the return of unnecessarily (erroneously) received interest under a facility agreement, loan agreement |
| 43 | | Settlements related to the fulfillment by non-residents of obligations on loans raised in cash under loan agreements |
| 43 | 015 | Settlements by a non-resident in favor of a resident on the repayment of a principal under a loan agreement |
| 43 | 025 | Settlements by a non-resident in favor of an individual resident on the repayment of a principal under a loan agreement |
| 43 | 035 | Settlements by a non-resident in favor of a resident regarding interest payments under a loan agreement |
| 43 | 045 | Settlements by a non-resident in favor of an individual resident on interest payments under a loan agreement |
| 43 | 050 | Other settlements of a non-resident in favor of a resident related to the payment of premiums (commissions) and other cash for a borrowed loan |
| 43 | 055 | Other settlements of a non-resident in favor of a resident individual related to the payment of premiums (commissions) and other cash for a borrowed loan |
| 43 | 800 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received funds upon return of a principal by a non-resident under a loan agreement |
| 43 | 805 | Settlements by a resident individual in favor of a non-resident related to the return of unnecessarily (erroneously) received funds upon return of a principal by a non-resident under a loan agreement |
| 43 | 850 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received funds upon payment of interest under a loan agreement |
| 43 | 855 | Settlements by a resident individual in favor of a non-resident related to the return of unnecessarily (erroneously) received funds upon payment of interest under a loan agreement |
| 50 | | Settlements related to capital investments |
| 50 | 100 | Settlements by a resident in favor of a non-resident of transactions with shares, deposits, stakes in property (authorized or joint-stock capital, mutual fund of a cooperative) of a legal entity, as well as under a simple partnership agreement |
| 50 | 110 | Settlements by a resident in favor of a non-resident upon payment of dividends (income) from capital investments |
| 50 | 200 | Settlements by a non-resident in favor of a resident of transactions with shares, deposits, stakes in property (authorized or joint-stock capital, mutual fund of a cooperative) of a legal entity, as well as under a simple partnership agreement |
| 50 | 210 | Settlements by a non-resident in favor of a resident upon payment of dividends (income) from capital investments |
| 50 | 300 | Other settlements of a resident in favor of a non-resident of transactions related to capital investments, with the exception of transactions with code 50100 |
| 50 | 400 | Other settlements of a non-resident in favor of a resident of transactions related to capital investments, with the exception of transactions with code 50200 |
| 50 | 800 | Settlements by a resident in favor of a non-resident related to the return to a non-resident of unnecessarily (erroneously) received money from transactions related to the payment by a non-resident of a share, contribution, stake in property (authorized or joint-stock capital, mutual fund of a cooperative) of a legal entity, as well as a contribution under a simple contract partnerships with capital investments, as well as upon liquidation of a resident legal entity |
| 50 | 900 | Settlements by a non-resident in favor of a resident related to the return to a resident of unnecessarily (erroneously) received money from transactions related to the payment by a resident of a share, contribution, stake in the property (authorized or joint-stock capital, mutual fund of a cooperative) of a legal entity, as well as a contribution under a simple partnership contract with capital investments, as well as upon liquidation of a non-resident legal entity |
| 51 | | Settlements related to the acquisition by non-residents from residents of securities (rights certified by securities), with the exception of settlements with codes [in group 58](#P1029) of this List |
| 51 | 210 | Settlements by a non-resident in favor of a resident for acquired bonds, shares and other issue-grade securities of residents |
| 51 | 215 | Settlements by a non-resident in favor of a resident for acquired bonds, shares and other issue-grade securities of non-residents |
| 51 | 230 | Settlements by a non-resident in favor of a resident for acquired shares of investment funds founded by a resident |
| 51 | 235 | Settlements by a non-resident in favor of a resident for acquired shares of investment funds founded by a non-resident |
| 51 | 250 | Settlements by a non-resident in favor of a resident for acquired promissory notes and other non-issue-grade securities issued by a resident |
| 51 | 255 | Settlements by a non-resident in favor of a resident for acquired promissory notes and other non-issue-grade securities issued by non-residents |
| 51 | 800 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received money from transactions with securities (rights certified by securities), as well as funds for such unfulfilled obligations |
| 52 | | Settlements related to the acquisition by residents from non-residents of securities (rights certified by securities), with the exception of settlements with codes in group 58 of this List |
| 52 | 210 | Settlements by a resident in favor of a non-resident for acquired bonds, shares and other issue-grade securities of residents |
| 52 | 215 | Settlements by a resident in favor of a non-resident for acquired bonds, shares and other issue-grade securities of non-residents |
| 52 | 230 | Settlements by a resident in favor of a non-resident for acquired shares of investment funds founded by a non-resident |
| 52 | 235 | Settlements by a resident in favor of a non-resident for acquired shares of investment funds founded by a resident |
| 52 | 250 | Settlements by a resident in favor of a non-resident for acquired promissory notes and other non-issue-grade securities issued by residents |
| 52 | 255 | Settlements by a resident in favor of a non-resident for acquired promissory notes and other non-issue-grade securities issued by non-residents |
| 52 | 900 | Settlements by a non-resident in favor of a resident related to the return of unnecessarily (erroneously) received money from transactions with securities (rights certified by securities), as well as funds for such unfulfilled obligations |
| 55 | | Settlements related to the fulfillment by residents and non-residents of security obligations, with the exception of settlements with codes in group 58 of this List |
| 55 | 210 | Settlements by a resident in favor of a non-resident upon fulfillment by a resident of obligations on bonds, shares and other issue-grade securities |
| 55 | 230 | Settlements by a resident in favor of a non-resident upon payment of income on shares of investment funds |
| 55 | 250 | Settlements by a resident in favor of a non-resident upon fulfillment by a resident of obligations on promissory notes and other non-issue-grade securities |
| 55 | 310 | Settlements by a non-resident in favor of a resident upon fulfillment by a non-resident of obligations on bonds and other issue-grade securities |
| 55 | 330 | Settlements by a non-resident in favor of a resident upon payment of income on shares of investment funds |
| 55 | 350 | Settlements by a non-resident in favor of a resident upon fulfillment by a non-resident of obligations on promissory notes and other non-issue-grade securities |
| 55 | 800 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received funds in the performance of obligations related to transactions with securities, as well as funds for unfulfilled obligations |
| 55 | 900 | Settlements by a non-resident in favor of a resident related to the return of unnecessarily (erroneously) received funds in the performance of obligations related to transactions with securities, as well as funds for unfulfilled obligations |
| 56 | | Settlements between residents and non-residents of transactions with derivative financial instruments and other forward transactions |
| 56 | 010 | Settlements by a non-resident in favor of a resident of transactions with derivatives and derivative financial instruments (premiums, margin and guarantee contributions and other funds transferred in accordance with the terms of such contracts), excluding settlements related to the delivery of the underlying asset |
| 56 | 060 | Settlements by a resident in favor of a non-resident of transactions with derivatives and derivative financial instruments (premiums, margin and guarantee contributions and other funds transferred in accordance with the terms of such contracts), excluding settlements related to the delivery of the underlying asset |
| 56 | 800 | Settlements by a resident related to the return to a non-resident of excessively (erroneously) transferred funds, as well as funds for unfulfilled obligations specified in this group |
| 56 | 900 | Settlements by a non-resident related to the return to a resident of excessively (erroneously) transferred funds, as well as funds for unfulfilled obligations specified in this group |
| 57 | | Settlements under property trust agreements |
| 57 | 010 | Settlements by a resident - trustor in favor of a resident - trustee in foreign currency, including payment of remuneration to the trustee |
| 57 | 015 | Settlements by a resident trustee in favor of a resident trustor in foreign currency |
| 57 | 020 | Settlements by a non-resident trustor in favor of a resident trustee, excluding payment of remuneration to a resident trustee |
| 57 | 025 | Settlements by a resident trustee in favor of a non-resident trustor, except for settlements with code 57800 |
| 57 | 030 | Settlements by a resident trustor in favor of a non-resident trustee, excluding payment of remuneration to a non-resident trustee |
| 57 | 035 | Settlements by a non-resident trustee in favor of a resident trustor, except for settlements with code 57900 |
| 57 | 800 | Settlements by a resident related to the return to a non-resident of unnecessarily (erroneously) transferred funds under property trust agreements |
| 57 | 900 | Settlements by a non-resident related to the return to a resident of unnecessarily (erroneously) transferred funds under property trust agreements |
| 58 | | Settlements under brokerage service agreements, with the exception of settlements with codes in groups 51–55 of this List |
| 58 | 010 | Settlements by a resident in favor of a non-resident broker under a brokerage service agreement, excluding payment of remuneration to a non-resident broker |
| 58 | 015 | Settlements by a non-resident broker in favor of a resident under a brokerage service agreement, except for settlements with code 58900 |
| 58 | 020 | Settlements by a non-resident in favor of a resident broker under a brokerage service agreement, excluding payment of remuneration to a resident broker |
| 58 | 025 | Settlements by a resident broker in favor of a non-resident under a brokerage service agreement, except for settlements with code 58800 |
| 58 | 030 | Settlements between residents in foreign currency under a broker service agreement, including payment of broker's remuneration |
| 58 | 800 | Settlements by a resident related to the return to a non-resident of unnecessarily (erroneously) transferred funds under a brokerage service agreement |
| 58 | 900 | Settlements by a non-resident related to the return to a resident of unnecessarily (erroneously) transferred funds under a brokerage service agreement |
| 59 | | Settlements under clearing agreements concluded between residents and non-residents |
| 59 | 010 | Settlements by a resident in favor of a non-resident clearing organization under a contract for the provision of clearing services, with the exception of payment of remuneration to a non-resident clearing organization |
| 59 | 015 | Settlements of a non-resident clearing organization in favor of a resident under a clearing services agreement, except for settlements with code 59900 |
| 59 | 020 | Settlements by a non-resident in favor of a resident clearing organization under a contract for the provision of clearing services, with the exception of payment of remuneration to a resident clearing organization |
| 59 | 025 | Settlements of a resident clearing organization in favor of a non-resident under a clearing services agreement, except for settlements with code 59800 |
| 59 | 800 | Settlements by a resident related to the return to a non-resident of unnecessarily (erroneously) transferred funds, as well as funds for unfulfilled obligations under a clearing services agreement |
| 59 | 900 | Settlements by a non-resident related to the return to a resident of unnecessarily (erroneously) transferred funds, as well as funds for unfulfilled obligations under a clearing services agreement |
| 60 | | Transfers by non-residents, withdrawal (crediting) of cash currency of the Russian Federation, carried out on accounts of non-residents in the currency of the Russian Federation |
| 60 | 070 | Transfers of the currency of the Russian Federation from a bank account of a non-resident in the currency of the Russian Federation to another bank account (deposit account) in the currency of the Russian Federation of this non-resident opened with the same authorized bank from a deposit account of a non-resident in the currency of the Russian Federation to the bank account of this non-resident, opened at the same authorized bank |
| 60 | 071 | Transfers of the currency of the Russian Federation from a non-resident's bank account in the currency of the Russian Federation opened with an authorized bank to a bank account (deposit account) in the currency of the Russian Federation of that non-resident opened with another authorized bank from a non-resident's deposit account in the currency of the Russian Federation to a bank account of this non-resident opened with another authorized bank |
| 60 | 075 | Transfers of the currency of the Russian Federation from a bank account of a non-resident in the currency of the Russian Federation opened with an authorized bank to a bank account (deposit account) in the currency of the Russian Federation of this non-resident opened with a non-resident bank |
| 60 | 076 | Transfers of the currency of the Russian Federation from a bank account (deposit account) of a non-resident in the currency of the Russian Federation opened with a non-resident bank to a bank account in the currency of the Russian Federation of this non-resident opened with an authorized bank |
| 60 | 080 | Transfers of the currency of the Russian Federation from a bank account of a non-resident in the currency of the Russian Federation to a bank account (deposit account) in the currency of the Russian Federation of another non-resident opened with the same authorized bank |
| 60 | 081 | Transfers of the currency of the Russian Federation from a bank account of a non-resident in the currency of the Russian Federation opened with an authorized bank to a bank account (deposit account) in the currency of the Russian Federation of another non-resident opened with another authorized bank |
| 60 | 085 | Transfers of the currency of the Russian Federation from a bank account of a non-resident in the currency of the Russian Federation opened with an authorized bank to a bank account (deposit account) in the currency of the Russian Federation of another non-resident opened with a non-resident bank |
| 60 | 086 | Transfers of the currency of the Russian Federation from a bank account (deposit account) of a non-resident in the currency of the Russian Federation opened with a non-resident bank to a bank account in the currency of the Russian Federation of another non-resident opened with an authorized bank |
| 60 | 090 | Cash withdrawal of the Russian Federation from a non-resident account in the currency of the Russian Federation opened with an authorized bank |
| 60 | 095 | Crediting cash currency of the Russian Federation to a non-resident account in the currency of the Russian Federation opened with an authorized bank |
| 60 | 200 | Withdrawal of the currency of the Russian Federation from a bank account of a non-resident in the currency of the Russian Federation opened with an authorized bank using a bank card |
| 61 | | Settlements and transfers of residents, cash withdrawal (transfer) by residents of foreign currency |
| 61 | 070 | Settlements between residents in foreign currency under contracts of freight forwarding, transportation and chartering (charter) for the provision by a freight forwarder, carrier and charterer of services related to the transport of goods exported from or imported to the Russian Federation, cargo transit through the territory of the Russian Federation, as well as under insurance contracts for these goods |
| 61 | 100 | Transfers of foreign currency from a transit currency account of a resident to another transit currency account of this resident or a settlement account of this resident in foreign currency |
| 61 | 115 | Settlements in foreign currency between residents being commission agents (agents, attorneys), and residents being principals (trustees), when the commission agents (agents, attorneys) provide services related to the conclusion and execution of agreements with non-residents on the transfer of goods, performance of work, provision of services, on the transfer of information and results of intellectual activity, including exclusive rights thereto, including transactions to return funds to principals (trustees) except for payments with codes 57010, 58030 and 61162 |
| 61 | 130 | Transfers of foreign currency from a resident’s current account in foreign currency opened with an authorized bank to the same resident’s foreign currency account opened with the same authorized bank from a resident’s foreign currency account opened with an authorized bank to a current account of the same resident in foreign currency opened with the same authorized bank |
| 61 | 135 | Transfers of foreign currency from a resident’s current account in foreign currency opened with an authorized bank to the resident’s foreign currency account opened with another authorized bank, from a resident’s foreign currency account opened with an authorized bank to a current account of the resident in foreign currency opened with another authorized bank |
| 61 | 140 | Transfers of foreign currency or the currency of the Russian Federation from a resident's account opened with a non-resident bank or other organization of the financial market located outside the territory of the Russian Federation, to that resident's account opened with an authorized bank |
| 61 | 145 | Transfers of foreign currency from a resident's account opened with a non-resident bank to another resident's account opened with an authorized bank |
| 61 | 150 | Transfers of foreign currency or the currency of the Russian Federation from a settlement account of a resident opened with an authorized bank to an account of the same resident opened with a non-resident bank or other organizations of the financial market located outside the territory of the Russian Federation |
| 61 | 155 | Transfers of foreign currency from a settlement account of a resident opened with an authorized bank to an account of another resident opened with a non-resident bank |
| 61 | 160 | Settlements between residents in foreign currency related to the deposit and return of individual, collective clearing collateral and (or) other collateral, including deposits in the property pool, in accordance with Federal Law N 7-FL of February 7, 2011, “On Clearing, Clearing Activities and Central Counterparty” (Meeting of the legislation of the Russian Federation, 2011, N 7, Art. 904; N 48, Art. 6728; N 49, Art. 7040, Art. 7061; 2012, N 53, Art. 7607; 2013, N 30, Art. 4084; 2014, N 11, Art. 1098; 2015, N 27, Art. 4001; N 29, Art. 4357; 2016, N 1, Art. 23, Art. 47; 2017, N 30, Art. 4456) (hereinafter, the Federal Law “On Clearing, Clearing Activities and Central Counterparty”) |
| 61 | 161 | Settlements between residents in foreign currency based on the results of clearing carried out in accordance with the Federal Law “On Clearing, Clearing Activities and Central Counterparty” |
| 61 | 162 | Settlements in foreign currency between residents who are commission agents (agents, attorneys), and residents who are principals (trustees), when the commission agents (agents, attorneys) render services related to the conclusion and execution of contracts, the obligations of which are to be fulfilled based on the results of clearing carried out in accordance with the Federal Law “On Clearing, Clearing Activities and Central Counterparty”, including the return of funds to principals (trustees) |
| 61 | 163 | Settlements in foreign currency between residents related to the performance and (or) termination of a contract that is a derivative financial instrument |
| 61 | 164 | Transfers of the currency of the Russian Federation from a resident's account opened with a non-resident bank to another resident's account opened with an authorized bank |
| 61 | 165 | Transfers of the currency of the Russian Federation from a resident's account opened with an authorized bank to an account of another resident opened with a non-resident bank |
| 61 | 170 | Withdrawal of cash foreign currency from a resident's account in foreign currency opened with an authorized bank |
| 61 | 175 | Зачисление наличной иностранной валюты на счет резидента в иностранной валюте, открытый в уполномоченном банке, за исключением зачислений по коду 61210 |
| 61 | 176 | Settlements between a resident financial agent (factor) and a resident in foreign currency and the currency of the Russian Federation within the framework of financing agreements concluded between these residents against the assignment of a monetary claim (factoring) |
| 61 | 177 | Settlements in foreign currency between residents related to the acquisition and alienation of currency values, which serve as security for the fulfillment of an obligation stipulated by a repurchase agreement, an agreement that is a derivative financial instrument, and (or) an agreement of a different type, concluded on the terms determined by the general agreement (single agreement), provided that one of the parties to such an agreement is an authorized bank or a professional participant in the securities market |
| 61 | 180 | Other transfers of foreign currency from a resident's current account in foreign currency opened with an authorized bank to another foreign currency account opened with that or another authorized bank permitted by the Federal Law “On Currency Regulation and Currency Control” |
| 61 | 200 | Settlements using a bank card, with the exception of settlements under a contract which establishes a requirement for its registration in accordance with Section II of this Instruction related to debiting foreign currency from a settlement account of a resident in an authorized bank in favor of a resident or a non-resident and (or) currency of the Russian Federation when conducting transactions in favor of a non-resident |
| 61 | 210 | Crediting by a resident that is participant of international expositions held in a state or territory - member of the Organisation for Economic Co-operation and Development or the Financial Action Task Force on Money Laundering - of cash currency of the Russian Federation and/or cash foreign currency imported to the Russian Federation, acquired in accordance with paragraph 12 part 2 Art. 14 of the Federal Law “On Currency Regulation and Currency Control”, to their bank account opened with an authorized bank |
| 70 | | Non-trading transactions |
| 70 | 010 | Settlements by a non-resident in favor of a resident related to the payment of taxes, duties and other fees, except for settlements with code 70120 |
| 70 | 020 | Settlements by a resident in favor of a non-resident related to the payment of taxes, duties and other fees, except for settlements with code 70125 |
| 70 | 030 | Settlements related to the payment by a non-resident to a resident of pensions, benefits and other social payments, except for settlements with code 70120 |
| 70 | 040 | Settlements related to the payment by a resident to a non-resident of pensions, benefits and other social payments, except for settlements with code 70125 |
| 70 | 050 | Settlements related to payment by a non-resident to a resident of wages and other types of remuneration, except for settlements with code 70120 |
| 70 | 060 | Settlements related to payment by a resident to a non-resident of wages and other types of remuneration, with the exception of payments under code 70125 |
| 70 | 090 | Settlements related to the provision by a non-resident of free financial assistance to a resident, except for settlements with code 70100 |
| 70 | 095 | Settlements related to the provision by a resident of free financial assistance to a non-resident, except for settlements with code 70105 |
| 70 | 100 | Settlements related to the provision by a non-resident of charitable assistance to a resident, collection of donations, payment (receipt) of grants and other payments free of charge |
| 70 | 105 | Settlements related to the provision by a resident of charitable assistance to a non-resident, collection of donations, payment (receipt) of grants and other payments free of charge |
| 70 | 110 | Settlements by a non-resident in favor of a resident related to the payment of insurance compensation under an insurance or reinsurance contract |
| 70 | 115 | Settlements by a resident in favor of a non-resident related to the payment of insurance compensation under an insurance or reinsurance contract |
| 70 | 120 | Settlements by a non-resident in favor of a resident related to the enforcement of judgments |
| 70 | 125 | Settlements by a resident in favor of a non-resident related to the enforcement of judgments |
| 70 | 200 | Other settlements of a non-resident in favor of a resident of non-trading transactions, except for settlements with codes 70010, 70030, 70050, 70090, 70100, 70110, 70120 |
| 70 | 205 | Other settlements of a resident in favor of a non-resident of non-trading transactions, except for settlements with codes 70020, 70040, 70060, 70095, 70105, 70115, 70125 |
| 70 | 800 | Settlements by a resident in favor of a non-resident related to the return of unnecessarily (erroneously) received funds under non-trading transactions |
| 70 | 900 | Settlements by a non-resident in favor of a resident related to the return of unnecessarily (erroneously) received funds under non-trading transactions |
| 80 | | Settlements between an authorized bank and a non-resident in the currency of the Russian Federation and between an authorized bank and a resident in a foreign currency, except for settlements specified in groups 01, 02, 57 and 58 of this List <1> |
| 80 | 010 | Settlements between a non-resident and an authorized bank in the currency of the Russian Federation under a facility agreement |
| 80 | 020 | Withdrawal of the currency of the Russian Federation from a bank account of a non-resident in the currency of the Russian Federation in connection with the opening of a letter of credit |
| 80 | 021 | Crediting the currency of the Russian Federation to a bank account of a non-resident in the currency of the Russian Federation in connection with the return of the unused amount of the letter of credit when closing the letter of credit |
| 80 | 050 | Settlements between a non-resident and an authorized bank in the currency of the Russian Federation of other transactions, except for settlements specified in groups 02, 57 and 58 of this List, and settlements with codes 80010, 80020, 80021 |
| 80 | 110 | Settlements between a resident and an authorized bank in foreign currency under a facility agreement |
| 80 | 120 | Withdrawal of foreign currency, currency of the Russian Federation from the settlement account of a resident with an authorized bank in connection with the opening of a letter of credit in favor of a non-resident |
| 80 | 121 | Crediting foreign currency, currency of the Russian Federation to the settlement account of a resident in an authorized bank in connection with the return of the unused amount of a letter of credit when closing a letter of credit |

--------------------------------

<1> Group 80 transaction type codes are used by an authorized bank to store data on transactions conducted by residents and non-residents. The indicated codes of types of transactions are also used in case of debiting funds from the account of a resident or a non-resident opened with an authorized bank, for their transfer to another authorized bank under agreements concluded between them, or in the case of crediting received funds from another authorized bank according to the agreements concluded between them and resident or non-resident to the account of a resident or non-resident opened with an authorized bank.

|  |  |  |
| --- | --- | --- |
| 80 | 150 | Settlements between a resident and an authorized bank in foreign currency of other transactions, except for settlements specified in groups 01, 57 and 58 of this List, and settlements with codes 80110, 80120, 80121 |
| 99 | | Settlements of other transactions not expressly specified in groups 01–80 of this List |
| 99 | 010 | Refund to a resident of erroneously debited (credited) funds, including when refunding funds by correspondent banks |
| 99 | 020 | Refund to a non-resident of erroneously debited (credited) funds, including when refunding funds by correspondent banks |
| 99 | 030 | Settlements between a resident and a non-resident (being a third party or a guarantor) related to the fulfillment by a non-resident of the obligations of another resident under an agreement concluded between residents |
| 99 | 035 | Settlements between a non-resident and a resident (being a third party or a guarantor) related to the fulfillment by a resident of the obligations of another non-resident under an agreement concluded between non-residents |
| 99 | 090 | Settlements of transactions not specified in groups 01–80 of this List, as well as except for payments with codes 99010, 99020, 99030, 99035 |